

## Quantum spin systems

Def A qudit is a quantum system with Hilbert space  $\mathbb{C}^d$ .

A system of  $m$  qudits has Hilbert space  $H_m = (\mathbb{C}^d)^{\otimes m}$ .

Let  $\mathcal{O}_m = \mathcal{O}\left((\mathbb{C}^d)^{\otimes m}\right)$ ,  $\mathcal{I}_m = \mathcal{I}\left((\mathbb{C}^d)^{\otimes m}\right)$

Let  $\{|1\rangle \dots |d\rangle\}$  be the canonical basis of  $\mathbb{C}^d$

$\forall x \in \{1..d\}^m$ , let  $|x\rangle = |x_1\rangle \otimes \dots \otimes |x_d\rangle$  s.t.

$\{|x\rangle : x \in \{1..d\}^m\}$  is an orthonormal basis of  $H_m$ .

We associate to any probability distribution  $p$  on  $\{1..d\}^m$  the state

of  $m$  qudits,  $\rho_p = \sum_{x \in \{1..d\}^m} p(x) |x\rangle \langle x|$ .

We associate to any function  $f: \{1..d\}^m \rightarrow \mathbb{R}$  the observable

$O_f = \sum_{x \in \{1..d\}^m} f(x) |x\rangle \langle x|$ .

Def The Hamming distance between  $x, y \in \{1..d\}^m$  is

$$h(x, y) = \left| \left\{ i \in \{1..d\} : x_i \neq y_i \right\} \right|$$

## Quantum optimal transport

Classical OT:  $(X, d)$  metric space, find distance  $W$  on the set of the probability measures on  $X$  s.t.  $W(\delta_x, \delta_y) = d(x, y) \quad \forall x, y \in X$

In the quantum setting we have no obvious counterpart of  $\delta$  (at least not on all pure states).

Several proposals based on quantum generalizations of:

- 1) Dynamical formulation (Carlen Maas). Hard to compute; recovery of the classical case?
- 2) Couplings

- as probability measures (by de ...) Hard to enforce faithfulness  
No triangle inequality
- as stochastic maps (DP Revision) Recovers classical case only in semiclassical limit

- 3) Lipschitz constant

- from differential structure (De la Riva) No recovery of classical case
- from tensor product structure (DP ...) ←

- 4) Convert quantum state into classical probability distribution with measurements (Tyczkowski)

Recover classical case only in semiclassical limit

## The quantum Lipschitz constant

goal: obtain a quantum generalization of the Hamming distance and of the  $W_1$  distance on the set of the probability distributions on  $\{-1, 1\}^m$  endowed with the Hamming distance

We recall that  $\|p - q\|_{W_1} = \max \left\{ |\mathbb{E}_p f - \mathbb{E}_q f| : \|f\|_L \leq 1 \right\}$

let us find a quantum generalization of the Lipschitz constant

given  $f: \{-1, 1\}^m \rightarrow \mathbb{R}$ , we have

$$\|f\|_L = \max_{x, y \in \{-1, 1\}^m} \frac{|f(y) - f(x)|}{h(y, x)}.$$

This definition cannot be directly generalized to quantum observables.

Given  $x, y \in \{-1, 1\}^n$ , let  $h = h(x, y)$ . Then,  $\exists x^{(0)} = x, x^{(1)}, \dots, x^{(n)} = y$  s.t.  $h(x^{(i)}, x^{(i+1)}) = 1$ . Therefore,

$$\|f\|_L = \max \left\{ |f(y) - f(x)| : x, y \in \{-1, 1\}^n, h(x, y) = 1 \right\}.$$

$\forall i=1 \dots n$ , let

$$\exists f = \max \left\{ |f(y) - f(x)| : x, y \in \{-1, 1\}^n, x_j = y_j \forall j \neq i \right\}$$

$$\text{Def. } \|f\|_L = \max_{i=1..m} \mathcal{D}_i f.$$

Let us fix  $i = m$ . We have

$$\begin{aligned}\mathcal{D}_m f &= \max_{x \in \{-1\}^{m-1}} \max_{x_m, y_m \in \{-1, 1\}} |f(x_{-m}) - f(x_{-m}y_m)| \\ &= \mathcal{D} \max_{x \in \{-1\}^{m-1}} \min_{g(x) \in \mathbb{R}} \max_{x_m \in \{-1\}} |f(x_{-m}) - g(x)| \\ &= \mathcal{D} \min \left\{ \|f - g\|_\infty : g : \{-1\}^{m-1} \rightarrow \mathbb{R} \right\}\end{aligned}$$

$$(\text{Let } g(x) = \frac{1}{2} \left( \max_{x_m \in \{-1\}} f(x_{-m}) - \min_{x_m \in \{-1\}} f(x_{-m}) \right))$$

We can generalize this definition to the quantum setting!

Def If  $X \in \mathcal{O}_m$ ,  $i = 1..m$  we define

$$\mathcal{D}_i X = \mathcal{D} \min \left\{ \|X - Y\|_\infty : Y \in \mathcal{O}_m \text{ does not act on qubit } i \right\}$$

$$\|X\|_L = \max_{i=1..m} \mathcal{D}_i X$$

Ob If  $f : \{-1\}^n \rightarrow \mathbb{R}$  we have  $\mathcal{D}_i \mathcal{O}_f = \mathcal{D}_i f$  and  $\|\mathcal{O}_f\|_L = \|f\|_L$ .

## The quantum $W_1$ distance

Def let  $\mathcal{O}_m^T = \{X \in \mathcal{O}_m : \text{Tr} X = 0\}$ .

Obs If  $p, \sigma \in \mathcal{S}_m$  we have  $p - \sigma \in \mathcal{O}_m^T$

Def ( $W_1$ , norm) Let  $X \in \mathcal{O}_m^T$ . We define

$$\|X\|_{W_1} = \min \left\{ \text{Tr}[XY] : Y \in \mathcal{O}_m, \|Y\|_1 \leq 1 \right\}.$$

Thm let  $X \in \mathcal{O}_m^T$ . Then,

$$\|X\|_{W_1} = \frac{1}{2} \min \left\{ \sum_{i=1}^m \|X^{(i)}\|_1 : X^{(i)} \in \mathcal{O}_m^T, \text{Tr}[X^{(i)}] = 0, X = \sum_{i=1}^m X^{(i)} \right\} \quad (\#)$$

Prop If  $X \in \mathcal{O}_m^T$  we have  $\frac{1}{2} \|X\|_1 \leq \|X\|_{W_1} \leq \sum_{i=1}^m \|X^{(i)}\|_1$ .

Moreover, if  $\exists i \in \{1-m\}$  n.t.  $\text{Tr}[X^{(i)}] = 0$ , and in particular if  $m=1$  we have

$$\|X\|_{W_1} = \frac{1}{2} \|X\|_1.$$

Proof let  $X \in \mathcal{O}_m^T$ . For any  $X^{(1)} - X^{(m)}$  as in (#) we have

$$\frac{1}{2} \|X\|_1 \leq \frac{1}{2} \sum_{i=1}^m \|X^{(i)}\|_1, \text{ therefore } \frac{1}{2} \|X\|_1 \leq \|X\|_{W_1}.$$

Let  $X = X_+ - X_-$  where  $X_{\pm} \geq 0$  and  $\text{Im} X_+ \perp \text{Im} X_-$ .

Let  $t = \frac{1}{2} \|X\|_1 = \text{Tr} X_+ = \text{Tr} X_-$ , and let  $p^+ = \frac{X_+}{t}$ ,  $p^- = \frac{X_-}{t}$

We have  $X = t(\rho^+ - \rho^-) = t \sum_{i=1}^m (\rho_{1\dots i-1}^- \otimes \rho_{i+1\dots m}^+ - \rho_{1\dots i}^- \otimes \rho_{i+1\dots m}^+)$

Let  $X^{(i)} = t(\rho_{1\dots i-1}^- \otimes \rho_{i+1\dots m}^+ - \rho_{1\dots i}^- \otimes \rho_{i+1\dots m}^+)$  o.t.

$\text{Tr}_i X^{(i)} = 0$ . Then,  $\|X\|_{W_1} \leq \frac{1}{t} \sum_{i=1}^m \|X^{(i)}\|_1 \leq \frac{1}{t} \cdot m \cdot t \cdot 2$

$$= \frac{m}{t} \|X\|_1.$$

Let  $\bar{\rho}, \bar{\sigma} \in \mathbb{I}_m$ . We have proved that  $\frac{1}{t} \|X\|_1 \leq \|X\|_{W_1}$ .

Choosing  $X^{(i)} = X_i$ ,  $X^{(j)} = 0$  f.  $j \neq i$  we get  $\|X\|_{W_1} \leq \frac{1}{t} \|X\|_1$ .

Def  $\rho, \sigma \in \mathbb{I}_m$  are neighboring if  $\exists i \in \{1\dots m\}$  n.t.  $\bar{\rho}_i = \bar{\sigma}_i$

i.e. if they differ in only one qubit

Prop The unit ball of the  $W_1$  norm is the convex hull of the differences between neighboring states.

Proof 1) let  $\rho, \sigma \in \mathbb{I}_m$  n.t.  $\bar{\rho}_i = \bar{\sigma}_i$ . Then,  $\|\rho - \sigma\|_{W_1} =$

$$= \frac{1}{t} \|\rho - \sigma\|_1 \leq 1 \text{ and } \rho - \sigma \in \text{unit ball of } W_1.$$

2) let  $X \in \partial_m^T$  n.t.  $\|X\|_{W_1} \leq 1$ . Then,  $\exists X^{(1)} \dots X^{(m)} \in \partial_m^T : \text{Tr}_i X^{(i)} = 0$

$$X = \sum_{i=1}^m X^{(i)}, \quad \frac{1}{t} \sum_{i=1}^m \|X^{(i)}\|_1 \leq 1. \text{ For any } i = 1\dots m, \text{ let } X^{(i)}_+ = X^{(i)}_+ - X^{(i)}_-$$

with  $X_{\pm}^{(i)} \geq 0$  s.t.  $\text{Im } X_{+}^{(i)} \perp \text{Im } X_{-}^{(i)}$ . Let  $p_i = \text{Tr } X_{+}^{(i)} = \text{Tr } X_{-}^{(i)} = \frac{1}{2} \|X^{(i)}\|$ ,  
 let  $\rho^{(i)} = \frac{X_{+}^{(i)}}{p_i}$ ,  $\sigma^{(i)} = \frac{X_{-}^{(i)}}{p_i} \in \mathcal{I}_m$ , s.t.  $\text{Tr}_i(\rho^{(i)} - \sigma^{(i)}) =$   
 $= \frac{1}{p_i} \text{Tr}_i X^{(i)} = 0$ . We have  $X = \sum_{i=1}^m p_i (\rho^{(i)} - \sigma^{(i)})$ ,  $p_i \geq 0$ ,  
 $\sum_{i=1}^m p_i = \frac{1}{2} \sum_{i=1}^m \|X^{(i)}\| \leq 1$ , therefore  $X \in \text{convex hull}$ .

Prop Let  $\rho, \sigma$  be probability distributions on  $\{1-m\}^m$ . Then,

$\|\rho - \sigma\|_{W_1} = \|\rho - \sigma\|_{W_1}$ . In particular, if  $x, y \in \{1-m\}^m$  we have

$$\|(x)_x - (y)_y\|_{W_1} = d(x, y)$$

Prop The quantum  $W_1$  norm is invariant with respect to permutations of the qubits, and unitary operations acting on one qubit, and majorizing with respect to quantum channels acting on one qubit

Prop Let  $\{1-m\} = A \vee B$  with  $A \cap B = \emptyset$ . Then, if  $\rho, \sigma \in \mathcal{I}_m$

we have  $\|\rho - \sigma\|_{W_1} \geq \|\rho_A - \sigma_A\|_{W_1} + \|\rho_B - \sigma_B\|_{W_1}$  with equality if  $\rho = \rho_A \otimes \rho_B$ ,  $\sigma = \sigma_A \otimes \sigma_B$ .

Prop Let  $A \subseteq \{1-m\}$  and let  $\rho, \sigma \in \mathcal{I}_m$  s.t.  $\text{Tr}_A \rho = \text{Tr}_A \sigma$ .

Then,  $\|\rho - \sigma\|_{W_1} \leq |A| \frac{d^{k-1}}{d^k} \|\rho - \sigma\|_1$ .

Continuity of the von Neumann entropy

Def Let  $\rho \in \mathcal{G}(H)$ . Then,  $S(\rho) = -\text{Tr}[\rho \ln \rho]$ .

Prop Let  $\rho, \sigma \in \mathcal{G}_m$  be neighboring. Then,  $|S(\rho) - S(\sigma)| \leq 2 \ln d$

This robustness cannot be captured by a continuity bound w.r.t. the trace distance.

Thm Let  $\rho, \sigma \in \mathcal{G}_m$  and let  $w = \frac{1}{m} \|\rho - \sigma\|_{W_1}$ . Then,

$$\frac{1}{m} |S(\rho) - S(\sigma)| \leq h_2(w) + w \ln(d^{k-1}).$$

Quantum Pinsker's inequality

Def If  $\rho, \sigma \in \mathcal{G}(H)$ , let  $S(\rho \| \sigma) = \text{Tr}[\rho(\ln \rho - \ln \sigma)]$ .

Thm (Pinsker's inequality) If  $\rho, \sigma \in \mathcal{G}(H)$  we have

$$\frac{1}{2} \|\rho - \sigma\|_1 \leq \sqrt{\frac{1}{2} S(\rho \| \sigma)}$$

Pinsker's inequality does not have a good behavior w.r.t. the tensor product:

$$\frac{1}{2} \|\rho^{\otimes m} - \sigma^{\otimes m}\|_1 \leq 1, \text{ while } \sqrt{\frac{1}{2} S(\rho^{\otimes m} \| \sigma^{\otimes m})} = \sqrt{\frac{m}{2} S(\rho \| \sigma)} = \sqrt{m}$$

Thm Let  $w \in \mathcal{F}_m$  be a product state, i.e.,  $w = w_1 \otimes \dots \otimes w_m$ ,  $w_i \in \mathcal{F}_1$ .

Then, if  $p \in \mathcal{F}_m$  we have  $\frac{1}{m} \|p - w\|_{\text{tr}} \leq \sqrt{\frac{1}{2m} S(p\|w)}$ .

### Concentration inequalities

Talagrand's inequality: any smooth function of many variables is essentially constant. We generalize it to quantum observables, where the smoothness is quantified by the quantum Lipschitz constant.

Thm Let  $H \in \mathcal{O}_m$  with  $\text{Tr } H = 0$ . Then,

$$\frac{1}{d^m} \text{Tr } e^H \leq \exp \frac{m \|H\|_L^2}{8}$$

Cor Most of the eigenvalues of  $H$  are  $O(\sqrt{m} \|H\|_L)$ , i.e., if  $\delta > 0$  we have

$$\frac{1}{d^m} \dim(H \geq \delta \sqrt{m} \|H\|_L) \leq e^{-2\delta^2}.$$

Does the same hold for any product state? Open problem!

Cor:  $\exists C > 0 : \forall m \in \mathbb{N}, \forall w \in \mathcal{F}_m$  product,  $\forall H \in \mathcal{O}_m$  with  $\text{Tr } H = 0$  we have

$$\text{Tr}[w e^H] \leq \exp(m C \|H\|_L^2)$$